Form 3

Supplier's Checklist for RoHS Internal Audit

Company name	
Target products/parts	

	date	signature
Comfirmed by		
Approved by		

	No	Item	Results	Note
Whole Company	1	Is there a management policy for specified hazardous substances (hereinafter referred to as RoHS) such as RoHS/J-Moss?		
	2	Do you have an in-house system and rules for RoHS control?		
	3	Is information about RoHS (legal regulations, customer requests, etc.) transmitted and educated within the company?		
	4	Is your company's RoHS information properly calculated and managed, and is it properly disclosed to customers?		
	5	Do you have a RoHS management goal and plan?		
	6	Are the parts, processes, and substances (auxiliary materials, etc.) subject to RoHS control within the company clarified?		
	7	Do you maintain the quality and system related to RoHS by conducting periodic internal audits?		
Agreement Procurement	1	Have you obtained a contract / confirmation regarding "delivery of non-containing products" from the supplier?		
	2	Have you confirmed "non-inclusion data" for all parts / components of the supplier?		
	3	Are the specifications clearly communicated to the supplier (purchased parts / material manufacturer)?		
	4	Are the suppliers thoroughly aware that specifications cannot be changed without their consent?		
Specifications	1	Are the approved specifications clearly controlled?		
	2	Are there any mistakes in parts / materials / in-house design?		
	3	Are there any mistakes in the procurement / manufacturing instructions?		
	4	Is the content of the design change surely reflected?		
In-house Manufacturing management	1	When receiving parts / materials, is it clear to distinguish between RoHS "compliant" and "non-compliant" after receiving? (Example) There may be "compliant" / non-compliant products with the same model name.		
	2	Is there a clear distinction between RoHS "compliant" and "non-compliant" in the manufacturing process?		
	3	Is the process properly controlled using members that have a factor that causes the content of specified 10 substances to fluctuate?		
	4	Are you assembling using "RoHS compliant" auxiliary materials?		
	5	Do you make workers understand the meaning of "RoHS compliant"?		
	6	Do you provide "RoHS compliant" work management education and guidance to workers?		
	7	Are the management points related to "RoHS compliant" clarified in the work order?		
	8	Are there any parts / materials that have changed from the previous production due to design changes, etc.? Have you confirmed that it is a "RoHS compliant product"?		
	9	Is it appropriate to manage products that are missing from the process? (Prevention of contamination)		
	10	Is packing / return box management appropriate? (Note) Specified substances may be transferred to the product		
	11	Is the RoHS-related evidence well-management?		
	12	Is the content / non-content verified based on actual measurement data?		
	13	Is it possible to manage the transfer of phthalates in processes such as manufacturing, storage, packaging, and transportation?		
Outsourcing management	1	Are you checking the manufacturing process of the supplier? (Including grandchildren supplier)		
	2	Did you confirm the process of the new manufacturer? (Including grandchildren supplier)		
	3	Do the suppliers' workers understand the meaning of "RoHS compliant"?		
	4	Are consignment materials "RoHS compliant"?		
	5	Is the material properly managed by distinguishing between RoHS "compliant" and "non-compliant"?		
	6	Are you assembling using "RoHS compliant" auxiliary materials?		
	7	Are you processing and assembling using "RoHS compliant" products?		
	8	Is the process properly controlled using members that have a factor that causes the content of specified 10 substances to fluctuate?		
	9	Is the RoHS-related evidence well-management?		
	10	Is the content / non-content verified based on actual measurement data?		
	11	Have you confirmed the control status regarding phthalate ester transfer in processes such as manufacturing, storage, packaging, and transportation?		

Remarks :